## Supplemental 60-Day Notice of Violation

SENT IN COMPLIANCE WITH CALIFORNIA HEALTH \& SAFETY CODE § 25249.7(d)

Date: August 28, 2014
To: Michael Sweeney, President - Steinway Musical Instruments, Inc.
John Stoner, President - Conn-Selmer, Inc.
California Attorney General's Office;
District Attorney's Office for 58 Counties; and
City Attorneys for San Francisco, San Diego, San Jose, Sacramento and Los Angeles
From: Anthony E. Held, Ph.D., P.E.

## I. INTRODUCTION

My name is Anthony E. Held. I hold a Doctor of Philosophy degree in Environmental Engineering and I am a registered professional engineer in the State of California. I am a citizen of the State of California acting in the interest of the general public. I seek to promote awareness of exposures to toxic chemicals in products sold in California and, if possible, to improve human health by reducing hazardous substances contained in such items. This Notice is provided to the public agencies listed above pursuant to California Health \& Safety Code § 25249.6 et seq. ("Proposition 65 ") and supplements the 60-Day Notice of Violation sent on October 25, 2013. As noted above, notice is also being provided to the alleged violators, Steinway Musical Instruments, Inc. and Conn-Selmer, Inc. (the "Violators"). The violations covered by this Notice consist of the product exposures, routes of exposure, and type of harm potentially resulting from exposure to the toxic chemicals ("listed chemicals") identified below, as follows:

| Product Exposure: | See Section VII. Exhibit A |
| :--- | :--- |
| Listed Chemicals: | Di(2-ethylhexyl)phthalate ("DEHP"); Lead |
| Routes of Exposure: | Ingestion, Dermal, Inhalation |
| Types of Harm: | Birth Defects and Other Reproductive Harm |

## II. NATURE OF ALLEGED VIOLATION (PRODUCT EXPOSURE)

The specific types of products that are causing consumer and occupational exposures in violation of Proposition 65, and that are covered by this Notice, are listed under "Product Category/Type" in Exhibit A in Section VII below. All products within the categories covered by this Notice shall be referred to hereinafter as the "products." Exposures to the listed chemicals from the use of the products have been occurring without the clear and reasonable warning required by Proposition 65, dating as far back as August 28, 2011. Without proper warnings regarding the toxic effects of exposures to the listed chemicals resulting from contact with the products, California citizens lack the information necessary to make informed decisions on whether and how to eliminate (or reduce) the risk of exposure to the listed chemicals from the reasonably foreseeable use of the products.

## A. CONSUMER PRODUCT EXPOSURE (DEHP)

California citizens, through the act of buying, acquiring or utilizing the products, are exposed to the listed chemical. Infants, children and/or women of childbearing age ingest the listed chemical when they, among other activities, touch the products and transfer the listed chemical from the products to their mouths through hand-to-mouth activities that may continue to occur for a significant period after contact with the products stops. Infants, children and/or women of childbearing age are exposed to the listed chemical through direct dermal contact when they, among other activities, handle, touch or otherwise use the products. Infants, children and/or women of childbearing age inhale the listed chemical when, among other activities, they breathe indoor air with airborne particles that are released from the products containing the listed chemical.

## B. CONSUMER PRODUCT EXPOSURE (LEAD)

California consumers, through the act of buying, acquiring or utilizing the products, are exposed to the listed chemical. By way of example but not limitation, exposures occur when California citizens use, display, clean, repair, pack, unpack, arrange, store or otherwise handle the products. These tasks cause consumers to be exposed directly or indirectly through the routine touching of the parts or portions of the products containing readily available surface amounts of the listed chemical. Additionally, exposure can occur through the routine touching and ingesting of other materials that are contaminated with the listed chemical from the products as a result of these tasks. People likely to be exposed include both children and adults.

## C. OCCUPATIONAL EXPOSURE (LEAD)

Similarly, men and women in California use or otherwise handle the products as a part of their jobs and are, therefore, subject to occupational exposures to the listed chemical. Employees are exposed at any California business locations of the apparent manufacturer, distributor and retailer (and their agents, assigns and divisions) as well as all other California locations where the products, or the component parts thereof that include the listed chemical are, by way of example but not limitation, used, packed, unpacked, labeled, arranged, displayed, cleaned, stocked, stored, or otherwise handled. These tasks cause employee exposure directly and/or indirectly to the listed chemical through the routine touching of the parts or portions of the products containing readily available amounts of the listed chemical on the surface. Additionally, exposure can occur through the routine touching and ingesting of other materials that are contaminated with the listed chemical from the products as a result of these tasks. These products are also used by sole proprietors and other persons in settings not covered by the federal Occupational Safety Health Act ("OSHA"). This Notice alleges the violation of Proposition 65 with respect to occupational exposure governed by the California State Plan for Occupational Safety and Health (the "State Plan"). The State Plan incorporates the provisions of Proposition 65 , as approved by OSHA on June 6,1997 . This approval specifically placed certain conditions
with regard to occupational exposures on Proposition 65, including that it does not apply to the conduct of manufacturers occurring outside the State of California. The approval also provides that an employer may use the means of compliance contained in the general hazard communication requirement to comply with Proposition 65. It also requires that supplemental enforcement is subject to the supervision of the California Occupational Safety and Health Administration. Accordingly, any settlement, civil complaint, or substantive court orders in this matter must be submitted to the California Attorney General.

## III. CONTACT INFORMATION

Please direct all questions concerning this notice to me through my counsel's office at the following address:

Anthony E. Held, Ph.D., P.E.<br>c/o Josh Voorhees<br>The Chanler Group<br>Parker Plaza<br>2560 Ninth Street, Suite 214<br>Berkeley, CA 94710<br>Telephone: (510) 848-8880

## IV. PROPOSITION 65 INFORMATION

For general information concerning the provisions of Proposition 65, please feel free to contact the Office of Environmental Health Hazard Assessment's ("OEHHA") Proposition 65 Implementation Office at (916) 445-6900. For the Violators' reference, I have attached a copy of "Proposition 65: A Summary" which has been prepared by OEHHA.

## V. RESOLUTION OF NOTICED CLAIMS

Based on the allegations set forth in this Notice, I intend to file a citizen enforcement lawsuit against the alleged Violators unless such Violators enter into a binding written agreement to: (1) recall products already sold or undertake best efforts to ensure that the requisite health hazard warnings are provided to those who have received such products; (2) provide clear and reasonable warnings for products sold in the future or reformulate such products to eliminate the exposures; and (3) pay an appropriate civil penalty based on the factors enumerated in California Health \& Safety Code § 25249.7(b). If the alleged Violators are interested in resolving this dispute without resorting to time-consuming and expensive litigation, please feel free to contact my counsel identified in Section III above. It should be noted that neither my counsel nor I can: (1) finalize any settlement until after the 60-day notice period has expired; nor (2) speak for the Attorney General or any district or city attorney who received this Notice. Therefore, while reaching an agreement with me will resolve my claims, such agreement may not satisfy the public prosecutors.

## VI. ADDITIONAL NOTICE INFORMATION

Identified below are specific examples of products recently purchased and witnessed as being available for purchase or use in California that are within the categories or types of offending products covered by this Notice. Based on publicly available information, the retailers, distributors and/or manufacturers of the examples within the categories or types of products are also provided below. I believe and allege that the sale of the offending products also has occurred without the requisite Proposition 65 "clear and reasonable warning" at one or more locations and/or via other means including, but not limited to, transactions made over-the-counter, business-to-business, through the internet and/or via a catalog by the Violators and other retailers and distributors of the manufacturer.

| Product* | Retailer(s) | Manufacturer(s)/Distributor(s) |
| :--- | :--- | :--- |
| Ludwig Atlas Pro Round <br> Drum Throne, LAP51TH | Guitar Center <br> Sacramento County, Northern California | Steinway Musical Instruments, <br> Inc.; Conn-Selmer, Inc. |
| Ludwig Combo Throne - <br> Single Brace, L247TH | Drums On Sale <br> (http://www.drumsonsale.com) | Steinway Musical Instruments, <br> Inc.; Conn-Selmer, Inc. |
| Ludwig Accessories <br> Torque Wrench, L111, <br> UPC \#6 41064 12990 2 | Amazon.com, Inc. <br> (http://www.amazon.com) | Steinway Musical Instruments, <br> Inc.; Conn-Selmer, Inc. |
| C.G. Conn Trumpet <br> Mouthpiece Pouch, <br> \#173S, | JW Pepper <br> (http://www.jwpepper.com) | UPC 48023 10032 3 |

## VII. EXHIBIT A

| Product Category/Type | Such As* | Toxins |
| :--- | :--- | :--- |
| Drum Thrones with Vinyl/PVC <br> Upholstery containing <br> Di(2-ethylhexyl)phthalate | Ludwig Atlas Pro Round <br> Drum Throne, LAP51TH <br> Ludwig Combo Throne - <br> Single Brace, L247TH | Di(2-ethylhexyl)phthalate |
| Tools with Vinyl/PVC Grips <br> containing Di(2- <br> ethylhexyl)phthalate | Ludwig Accessories Torque <br> Wrench, L111, <br> UPC \#6 41064 12990 2 | Di(2-ethylhexyl)phthalate |

## VII. EXHIBIT A (continued)

| Product Category/Type | Such As* | Toxins |
| :--- | :--- | :--- |
| Vinyl/PVC Instrument Pouches | C.G. Conn Trumpet <br> Mouthpiece Pouch, \#173S, <br> UPC \#6 48023 10032 3 | Di(2-ethylhexyl)phthalate |
| Drum Thrones with Vinyl/PVC <br> Upholstery containing Lead | Ludwig Combo Throne - <br> Single Brace, L247TH | Lead |

*The specifically identified example of the types of products that are subject to this Notice are for the recipients' benefit to assist in their investigation of, among other things, the magnitude of potential exposures to the listed chemicals from other items within the product categories/types listed in Exhibit A. It is important to note that these examples are not meant to be an exhaustive or comprehensive identification of each specific offending product of the types listed under "Product Category/Type" in Exhibit A. Further, it is this citizen's position that the alleged Violators are obligated to continue to conduct in good faith an investigation into other specific products within the types or categories described above that may have been manufactured, distributed, sold, shipped, stored (or otherwise within the notice recipients' custody or control) during the relevant period so as to ensure that the requisite toxic warnings were and are provided to California citizens prior to purchase.

## PROOF OF SERVICE

I, the undersigned, declare under penalty of perjury:
I am over the age of 18 years, and not a party to the within action; my business address is Parker Plaza, 2560 Ninth Street, Suite 214, Berkeley, CA 94710.

On August 28, 2014, I served the following documents:

## SUPPLEMENTAL 60-DAY NOTICE OF VIOLATION SENT IN COMPLIANCE WITH HEALTH \& SAFETY CODE § 25249.7(d);

## PROPOSITION 65: A SUMMARY;

CERTIFICATE OF MERIT; AND

## CERTIFICATE OF MERIT ATTACHMENTS (SERVED ONLY ON THE ATTORNEY GENERAL)

on the entities listed below via First Class Certified Mail through the United States Postal Service by placing a true and correct copy in a sealed envelope, addressed to the entities listed below and providing each envelope to a United States Postal Service Representative:

Michael Sweeney, President John Stoner, President John Stoner, President Steinway Musical Instruments, Inc. 800 South Street, Suite 305
Waltham, MA 02453

Conn-Selmer, Inc. 600 Industrial Parkway
Elkhart, IN 46516

Conn-Selmer, Inc. P.O. Box 310 Elkhart, IN 46515
as well as by providing copies of the above documents electronically uploaded to the public enforcers according to directions from their respective offices, and/or by placing a true and correct copy in a sealed envelope, addressed to each party listed below, and served as follows:

| Electronically Uploaded to the Attorney <br> General's website: | The Attorney General of the State of <br> California; |
| :--- | :--- |
| By placing each envelope in a United <br> States Postal Service mailbox, postage <br> prepaid: | The District Attorney for Each of the 58 <br> counties in California; and |
| The City Attorney for Los Angeles, San <br> Diego, San Jose, San Francisco and <br> Sacramento |  |

A list of addresses for each of these recipients is attached.
Executed on August 28, 2014, at Berkeley, California.


Caroline Pak

# CERTIFICATE OF MERIT 

Health and Safety Code Section 25249.7(d)

I, Clifford A. Chandler, hereby declare:

1. This Certificate of Merit accompanies the attached sixty-day notice in which it is alleged that the parties identified in the notice have violated Health and Safety Code $\S 25249.6$ by failing to provide clear and reasonable warnings;
2. I am the attorney for the noticing party;
3. I have consulted with one or more persons with relevant and appropriate experience or expertise who has reviewed facts, studies, or other data regarding the alleged exposure to the listed chemicals that is the subject of this action;
4. Based on the information obtained through those consultations, and on all other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute;
5. The copy of this Certificate of Merit served on the Attorney General attaches to it factual information sufficient to establish the basis for this certificate, including information identified in Health and Safety Code § 25249.7(h)(2) (i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons).

Dated: August 28, 2014
cupped

Clifford A. Chandler

## SERVICE LIST

The Honorable Nancy O'Malley
Alameda County District Attorney 1225 Fallon Street, Room 900 Oakland, CA 94612

The Honorable Terese Drabec Alpine County District Attomey 270 Laramie Street, PO BOX 248 Markleeville, CA 96120

The Honorable Todd Riebe Amador County District Attomey 708 Court Street Jackson, CA 95642

The Honorable Michael Ramsey
Butte County District Attomey 25 County Center Drive
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The Honorable Barbara Yook
Calaveras County District Attomey 891 Mountain Ranch Road San Andreas, CA 95249

The Honorable John R. Poyner Colusa County District Attorney 346 Fitth Street
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The Honorable Mark Peterson Contra Costa County District Attomey 900 Ward Street
Martinez, CA 94553
The Honorable Jon Alexander Def Norte County District Attomey 981 H Street
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El Dorado County District Attomey
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Fresno, CA 93721
The Honorable Robert Maloney
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P.O. Box 430

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The Honorable Paul Gallegos Humboldt County District Attomey $8255^{\text {th }}$ Street
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The Honorable Gilbert Otero Imperial County District Attorney 940 West Main Street, Suite 102 EI Centro, CA 92243

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The Honorable Lisa Green Kern County District Attomey 1215 Truxtun Avenue Bakersfield, CA 93301

The Honorable Greg Strickland Kings County District Attorney 1400 West Lacey Boulevard Hanford, CA 93230

The Honorable Donald Anderson Lake County District Attomey 255 North Forbes Street
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The Honorable Robert Burns
Lassen County District Attomey 220 S. Lassen Street, Ste. 8 Susanville, CA 96130

The Honorable Steve Cooley Los Angeles County District Attomey 210 West Temple Street, Suite 18000 Los Angeles, CA 90012

The Honorable Michael Keitz Madera County District Attomey 209 West Yosemite Avenue Madera, CA 93637

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The Honorable Robert Brown Mariposa County District Attorney 5101 Jones Street, P.O. Box 730 Maniposa, CA 95338

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The Honorable Jan Scully Sacramento County District Attorney 901 G Street
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The Honorable Candice Hooper
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The Honorable Michael Ramos San Bemardino County District Aftorney
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The Honorable Bonnie Dumanis San Diego County District Attorney 330 W. Broadway Street San Diego, CA 92101

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The Honorable James Willett
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San Diego, CA 92101
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The Honorable Dennis J. Herrera
Office of the City Attomey, San Francisco
City Hall, Room 234
San Francisco, CA 94102

The Honorable Richard Doyle
Office of the City Attorney, San Jose
200 East Santa Clara Street
San Jose, CA 95113

Office of the California Attomey General
Proposition 65 Enforcement Reporting
ATTN: Prop 65 Coordinator
1515 Clay Street; Suite 2000
Oakland, CA 94612-0550

